



PATENT

Docket No. 265.0009 0101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Pasricha et al.)
Serial No.: 09/834,110)
Confirmation No.: 5306)
Filed: April 12, 2001)
For: TREATMENT OF DISORDERS BY IMPLANTING STEM CELLS AND/OR
PROGENY THEREOF INTO GASTROINTESTINAL ORGANS

Group Art Unit: 1614
Examiner: Unknown

COMMUNICATION RE: MISSING PARTS

Assistant Commissioner for Patents
Attn: Box Missing Parts
Washington, D.C. 20231

Sir:

In response to the "Notice to File Missing Parts of Application," enclosed is an executed Declaration by the named inventors. Please charge PTO Deposit Account No. 13-4895 in the amount of \$65.00, for the missing parts surcharge for "small entity status." Please charge any additional fees or credit any over-payment to PTO Deposit Account No. 13-4895.

The application should now be in condition for examination. Please direct any inquiries to the undersigned attorney.

CERTIFICATE UNDER 37 C.F.R. 1.8:

The undersigned hereby certifies that this paper is being deposited in the United States Postal Service, as first class mail, in an envelope-addressed to: Assistant Commissioner for Patents, Attn: Box Missing Parts, Washington, D.C. 20231, on this 3rd day of August, 2001.

Ann M. Mueting
Ann M. Mueting

Respectfully submitted,

Pasricha et al.

By their Representatives,
Mueting, Raasch & Gebhardt, P.A.
P.O. Box 581415
Minneapolis, MN 55458-1415
Telephone (612)305-1220
Facsimile (612)305-1228

August 3, 2001
Date

By:

Ann M. Mueting
Ann M. Mueting
Reg. No. 33,977
Direct Dial (612) 305-1217



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/834,110	04/12/2001	Pasricha	265.0009 0101

CONFIRMATION NO. 5306

26813

MUETING, RAASCH & GEBHARDT, P.A.
P.O. BOX 581415
MINNEAPOLIS, MN 55401

FORMALITIES LETTER



**0C000000006154348*

Date Mailed: 06/06/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$80.
 ■ **\$80 for 1 independent claims over 3 .**
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 920.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Pasricha et al.

Group Art Unit: 1614

Serial No.: 09/834,110

Examiner: Unknown

Confirmation No.: 5306

Docket No.: 265.0009 0101

Filed: April 12, 2001

Title: TREATMENT OF DISORDERS BY IMPLANTING STEM CELLS AND/OR PROGENY THEREOF INTO GASTROINTESTINAL ORGANS

Assistant Commissioner for Patents
Attn: Box Missing Parts
Washington, D.C. 20231

We are transmitting the following documents along with this Transmittal Sheet (which is submitted in triplicate):

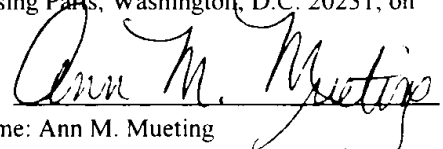
- ☒ **Small entity status is entitled to be asserted in the above-identified application.**
- ☒ An itemized return postcard.
- ☒ Communication Re: Missing Parts (1 pg).
- ☒ Please charge Deposit Account No. 13-4895 in the amount of \$65.00 for the missing parts surcharge for a "small entity".
- ☒ A signed Declaration (4 pgs).
- ☒ Other: Election Under 37 C.F.R. § 3.71, Revocation, Power of Attorney, and Certificate under §3.73(b) (4 pgs, including of executed Assignment (2 pgs)); and copy of Notice to File Missing Parts (1 pg).
- ☒ Submission of Drawings under 37 C.F.R. §1.85 (1 pg).
- ☒ Formal Drawings (7 Figures on 5 sheets).
- ☒ Please charge Deposit Account No. 13-4895 in the amount of \$395.00 for the application filing fee and additional claims fee for a "small entity".

APPLICATION FILING FEE					
	Number of Pending Claims (1)	Claims Included in Basic Filing Fee (2)	Number of Extra Claims (1-2)	Cost per Extra Claim	Fee Required
Total Claims	20	20	0	x \$9 =	0
Independent Claims	4	3	1	x \$40 =	40.00
One or More Multiple Dependent Claims Presented? If Yes, Enter \$135 Here →					0
Enter Basic Filing Fee (Utility Patent-\$355) Here →					355.00
Total Application Filing Fee					395.00

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 13-4895. Triplicate copies of this sheet are enclosed.

CERTIFICATE UNDER 37 C.F.R. §1.8: The undersigned hereby certifies that this Transmittal Letter and the paper(s), as described hereinabove, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, Attn: Box Missing Parts, Washington, D.C. 20231, on this 3rd day of August, 2001.

MUETING, RAASCH & GEBHARDT, P.A.
Customer Number: 26813

By: 
Name: Ann M. Mueeting
Reg. No.: 33,977
Direct Dial: 612-305-1217
Facsimile: 612-305-1228

(SMALL ENTITY TRANSMITTAL UNDER RULE 1.8)